

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEYS DOCKET NUMBER  
P01,0063**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/786694**

INTERNATIONAL APPLICATION NO.

**PCT/DE99/02763**

INTERNATIONAL FILING DATE

**01 September 1999**

PRIORITY DATE CLAIMED

**29 September 1998**

TITLE OF INVENTION

**METHOD AND ARRANGEMENT FOR PROCESSING A DIGITIZED PICTURE WITH PIXELS**

APPLICANT(S) FOR DO/EO/US

**Jüßen PANDEL, Gero BÄSE and Norbert GERTEL**

Applicant herewith submits to the United States /Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
  4. ☒ A proper Demand for International Preliminary Examination will be made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Executed**
  10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; **(PTO 1449, Prior Art, Search Report)**.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  
**(SEE ATTACHED ENVELOPE)**
  13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☒ A substitute specification - **Marked up copy of Substitute Specification.**
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - a. ☒ Submission of Drawings - **Four sheets of drawings - Drawing Correction Letter**
    - b. ☒ **EXPRESS MAIL #EL655301664US dated March 8, 2001.**

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.9)

09/78669

INTERNATIONAL APPLICATION NO.

PCT/DE99/02763

ATTORNEY'S DOCKET NUMBER

0063

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims

Number Filed

Number Extra

Rate

Total Claims

16

- 20 =

0

X \$ 18.00

\$ 0

Independent Claims

3

- 3 =

0

X \$ 80.00

\$ 0

Multiple Dependent Claims

\$270.00 +

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 0

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$ 0

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 0

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$860.00

Amount to be refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ **860.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606  
CUSTOMER NO. 26574

SIGNATURE

Brett A. Valiquet

NAME

27,841

Registration Number

09/786694

JO Rec'd PCT PTO

08 MAR 2001

COPY

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**"Express Mail" Mailing Label Number EL655301664US**

**Date of Deposit: March 8, 2001**

I hereby certify that this CORRESPONDENCE IS BEING DEPOSITED WITH THE United States Postal "Express Mail Post Office To Addressee" SERVICE UNDER 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT**

**Hon. Assistant Commissioner for Patents  
Washington, D. C. 20231**

**Case Number: P01,0063**

**International Application No.  
PCT/DE99/02763**

**International Filing Date  
01 September 1999**


**Priority Date Claimed  
29 September 1998**

**Title: "METHOD AND ARRANGEMENT FOR PROCESSING A DIGITIZED PICTURE  
WITH PIXELS"**

**Applicants: Jürgen Pandel et al**

**Enclosed are the following documents:**

PTO 1390 in duplicate;  
International Application as filed  
English Translation  
Substitute Specification  
Marked up copy of Substitute Specification  
Information Disclosure Statement, References, Search Report, Form 1449  
Preliminary Amendment  
Drawing Correction Letter  
Four sheets of drawings  
Executed Declaration  
Executed Assignment - Envelope attached  
\$860.00  
Postcard.



**Signature of Person Mailing Documents and Fee**

-1-

BOX PCT  
IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

DRAWING CORRECTION LETTER

APPLICANT: Jürgen PANDEL ET AL

DOCKET NO: P01,0063

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10

INTERNATIONAL APPLICATION NO: PCT/DE99/02763

INTERNATIONAL FILING DATE: 01 September 1999

INVENTION: "METHOD AND ARRANGEMENT FOR PROCESSING A  
DIGITIZED PICTURE WITH PIXELS"

Assistant Commissioner for Patents

15

Washington, D.C. 20231

Sir:

Please amend Figs. 2, 3 and 4 as indicated in red  
on the attached drawing figures.

Respectfully submitted,

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 (Reg.No. 27,841)

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Attorneys for Applicant  
CUSTOMER NO. 26574